

VIA Hand Delivery
PATENT
60303.35

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Yuji KANEKO, et al. Serial No.: Currently unknown Filing Date: Concurrently herewith For: RARE EARTH MAGNET AND METHOD FOR PRODUCING THE MAGNET	Parent Appln. Serial No.: 09/985,671 Parent Filing Date: November 5, 2001
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INFORMATION DISCLOSURE STATEMENT

U.S. Patent and Trademark Office
2011 South Clark Place
Customer Window, Mail Stop PATENT APPLICATION
Crystal Plaza Two, Lobby, Room 1B03
Arlington, VA 22202

Sir:

In accordance with 37 CFR §1.56, and in recognition of their duty to disclose to the United States Patent and Trademark Office relevant information known to be material to patentability, Applicants submit herewith an Information Disclosure Statement (Form PTO-1449, references 1-57), which lists all the prior art references that were listed in the Parent Application No. 09/985,671, currently pending. Accordingly, a copy of these references can be found in the Parent Application No. 09/985,671, currently pending.

Divisional Application of
Parent Application No. 09/985,671
August 21, 2003
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In addition, Applicants submit herewith copies of the prior art listed on the attached Information Disclosure Statement (Form PTO-1449, references 58-63), including an English abstract.

This statement is not a representation that all of the information cited is necessarily effective as prior art against the application.

Applicants respectfully request that the disclosed references be made of record in the subject application.

Respectfully submitted,

Date: August 21, 2003



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Substitute for form 1449/PTO

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

Sheet **1** of **2****Complete if Known**

Application Number	
Filing Date	
First Named Inventor	Yuji KANEKO,
Art Unit	
Examiner Name	
Attorney Docket Number	60303.35

U.S. PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Document Number Number-Kind Code ² (if known)	Publication Date MM -YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
	1	6,322,637	11/2001	Hirota et al.	
	2	5,716,462	02/1998	Sakurada et al.	
	3	2003/0084964	05/2003	Okayama et al.	
	4	2002/0117237	08/2002	Takaki et al.	
	5	2002/0003007	01/2002	Hirota et al.	
	6	5,788,782	08/1998	Kaneko et al.	
	7	6,159,308	12/2000	Uchida et al.	

FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Foreign Patent Document Country Code ³ Number-Kind Code ⁵ (if known)	Publication Date MM -YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
	8	JP 62-229803	10/1987	JAPAN		X
	9	JP 63-116404	05/1988	JAPAN		X
	10	JP 63-033505	02/1988	JAPAN		X
	11	JP 04-114409	04/1992	JAPAN		X
	12	JP 10-317110	12/1998	JAPAN		X
	13	JP 2000-219942	08/2000	JAPAN		X
	14	JP 2000-219973	08/2000	JAPAN		X
	15	EPO 0 295 779 A3	12/1988	EUROPE		
	16	EPO 0 651 401 A1	05/1995	EUROPE		
	17	JP 3-167803	07/1991	JAPAN		X
	18	JP 8-088112	04/1996	JAPAN		X
	19	JP 11-054351	02/1999	JAPAN		X

Examiner Signature		Date Considered	
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*Examiner: Initial if reference considered, whether of not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered, include copy of this form with next communication to applicant.

¹ Applicant unique citation designation number (optional). ² See Kind Codes of USPTO Patent documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Abstract is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14.

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*Complete if Known***INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

Sheet **2** of **2**

Application Number

Filing Date

First Named Inventor

Yuji KANEKO

Art Unit

Examiner Name

Attorney Docket Number

60303.35**NON PATENT LITERATURE DOCUMENTS**

Examiner Initials	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title Of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume- issue number(s), publisher, city and/or country where published.	T ²
	20	J. Bernardi, et al., "Microstructural analysis of strip cast Nd-Fe-B alloys for high (BH) _{max} magnets", Journal of Applied Physics, Vol.83 No. 11, June 1998, pp.6396-6398.	
	21	AR Y. Kaneko et al., "Recent Developments of High-Performance NEOMAX Magnet", Journal of Materials Engineering and Performance, Volume 3(2), april 1994, pp 228-233.	
	22	U.S. Patent Application Serial No. 09/851,4232, Hkatsumi OKAYAMA et al., "Rare Earth Magnet and Method for Manufacturing the Same", filing Date 05/09/2001.	

Examiner Signature		Date Considered	
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¹ Applicant unique citation designation number (optional). ² Applicant is to place a check mark here if English language Translation is attached.
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